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PTO/SB/05 (05-03)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

10420037-1

First Inventor

Sheng-li Pan

Title

LAETISPICINE AND LAETISPICINE ANALOGUES,  
METHODS OF USE AND PREPARATION

Express Mail Label No.

ER 268706993 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

☐ 1. Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

☐ 2. Applicant claims small entity status.  
See 37 CFR 1.27.

☐ 3. Specification [Total Pages   
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets

5. Oath or Declaration [Total Sheets  3  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a. ☐ Computer Reader Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); or

ii. ☐ Paper

c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney

11. ☐ English Translation Document (if applicable)

12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1499 Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.

17. ☒ Other: ☒ Copy of postcard with Certificate of Express  
...Mailing pursuant to 37 C.F.R. 1.10

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation

☐ Divisional

☐ Continuation-in-part (CIP)

of prior application No.: .....

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

OR ☒ Correspondence address below

Name Yuan Qing Jiang

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Name (Print/Type) Yuan Qing Jiang

Registration No. (Attorney/Agent) 53074

Signature

Date 10/27/2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

United States Patent and Trademark Office  
Office of Initial Patent Examination (OIPE)  
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P. O. Box 1450  
Alexandria, VA 22313-1450



October 27, 2003

Dear Examiner ,

To comply with your NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION dated on October 15, 2003, I am resending you the Declaration, which is properly signed in compliance with 37CFR 1.63, identifying the application by the application number 10/616,605 and filing date of July 10, 2003;

also claiming Foreign Priority, under 35 USC119, of Application filed in China, application number 02136003.0, filed on July 12, 2002.

I sent the above mentioned Declaration with my nonprovisional patent application on July 10, 2003. With all due respect, I am sending you the copy of the returned post card identifying the application by the application number 10/616,605 and filing date of July 10, 2003 for your review.

I would respectfully ask you to help me to correct a typo in your Filing Receipt and Notice to file missing parts of nonprovisional application, under ATTY. Docket No., the number should be 10420037-1, instead of the wrong number 10420031-1.

Enclosed please find:

- Copy of NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION  
Copy of DECLARATION AND POWER OF ATTORNEY  
OR AUTHORIZATION OF AGENT FOR PATENT APPLICATION

Copy of RETURNED POST CARD for original filing of  
the DECLARATION on July 10, 2003

- TRANSMITTAL
- RETURN POSTCARD FOR THIS FILING on October 27, 2003

Regards,

Sincerely,

A handwritten signature in black ink, consisting of several fluid, connected strokes. The signature is slanted upwards from left to right.

Yuan Qing Jiang

Registration number 53074

Client: Sheng-li Pan

Title: LAETISPICINE AND LAETISPICINE ANALOGUES, METHODS  
OF USE AND PREPARATION

Agent Doc Number: 10420037-1

Date Mailed: July 10, 2003

The following has been received in the U.S. Patent & Trademark Office  
on the date stamped hereon:

- (1) Express Mail no.: ER 212857431 US;
- (2) Certificate of Mailing;
- (3) Application – utility ( 22 pages);
- (4) Drawings: 2 sheets include 2 figures;
- (5) Declaration and Power of Agent (3 pages);
- (6) Check no. 1580, amt: \$501;
- (7) Transmittal Letter;
- (8) Fee Transmittal;
- (9) Information Disclosure;
- (10) Copy of IDS Citations;
- (11) Postcard;
- (12) Copy of postcard with Certificate of Express Mailing pursuant to  
C.F.R. § 1.10.

17575 U.S. PTO  
10/616605  
07/10/03

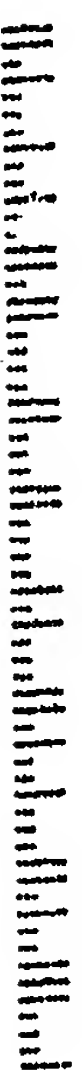


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34306+6214 21



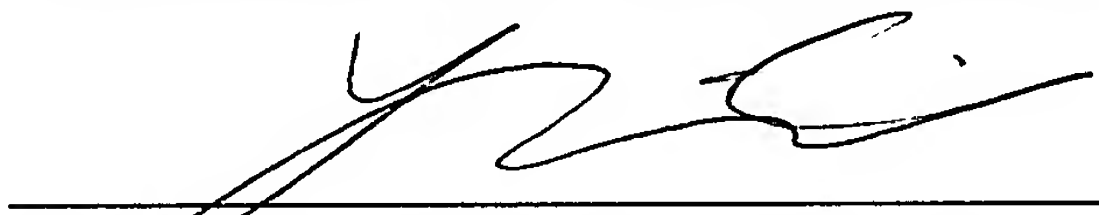


**EXPRESS MAIL CERTIFICATE OF MAILING**

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22313-1450

Yuan Qing Jiang  
(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

October 27, 2003  
(Date signed)

Client: Sheng-li Pan

Title: LAETISPICINE AND LAETISPICINE ANALOGUES, METHODS  
OF USE AND PREPARATION

Agent Doc Number: 10420037-1

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**The following has been received in the U.S. Patent & Trademark Office  
on the date stamped hereon:**

- (1) Express Mail no.: ER 268706993 US;
- (2) Certificate of Mailing;
- (3) Declaration and Authorization of Agent (3 pages);
- (4) Copy of returned Post Card for original filing of the declaration  
on July 10, 2003;
- (5) Copy of notice to file missing parts of nonprovisional application;
- (6) Transmittal;
- (7) Communication Letter;
- (8) Return Postcard;
- (9) Copy of postcard with Certificate of Express Mailing pursuant to  
C.F.R. § 1.10.